



FINANCIAL CRIMES

ACMECorp Risk Financial Crimes Consulting

Balancing Regulatory Expectations for Ongoing Calibration With Internal Resource

The spectre of financial crime looms large over any – and indeed every – financial services customer. However, the problem is not just the difficulty of detecting rogue actors and suspicious transactions, it's also the complexity of keeping compliant with the myriad of international regulations and the threat of sanctions for any violations.

An intense push from governing bodies fighting fraud and other illicit activities has made compliance a top priority for the entire sector. AML regulations continue to evolve and penalties are becoming more severe for financial entities as well as individuals within.

If a crime occurs and it is determined that inadequate AML safeguards were in place, personal penalties for board of directors or executive managers of millions and a prison sentence of 7 years are possible.

AML protocols are all-encompassing. Safeguards must be put in place up and down the entire chain of command, from the board of directors down to the line of business associates. This includes installing and implementing AML software, ensuring the security, privacy, and integrity of all data, training internal audit staff, monitoring transactions, and issue response.

ACMECorp Risk can help your company build or modify a Financial Crimes Prevention Program with:

- **Effective Governance**
- **Subject Matter Expertise**
- **Financial Crimes Tools & Technology**
- **Internal Controls**
- **Automation**
- **Data & Analytics**
- **Configuration**
- **Case Management**
- **Nearshore Resources**

ACMECorp Risk consultants have years of experience from the Financial Services industry at the most competitive rates.

Fallout from a financial crime can be devastating.

Not only will there likely be hefty fines charged to the institution for the infraction, it can also generate many more dollars in legal fees. The ensuing negative publicity causes irreparable harm to a company's reputation. As trust erodes, the institution continues to lose money due to a dwindling customer base.

ACMECorp Risk has the solution to prevent this from happening. Our firm is comprised of highly experienced business process experts who are well-versed in the ever-changing landscape of AML regulation. We also employ IT professionals in sync with the latest tools including Oracle Mantas, Actimize, and Pega who can install, configure, monitor, and automate company-wide systems.

Ultimately, what separates ACMECorp Risk from other risk and compliance service providers is that we provide a lot more at a much better value.

ACMECorp Risk puts our client's needs first.

We create a support team that is a good fit with your institution.

You are always given final approval over all consultants, and you have the option to onboard one or more of them on a full-time basis.

Once assembled, ACMECorp Risk will perform an overall AML risk assessment, which includes highlighting your biggest vulnerabilities. We then carefully examine existing AML controls and determine their effectiveness. From there, our team discusses ways to strengthen your internal policies and procedures and help select and implement the best AML software.

As we roll out tools and services, ACMECorp Risk continues to test and fine tune processes to reduce the number of false alarms as well as increase accuracy and reliability. If any AML issues or events are flagged, we can assist with the remediation process.

ACMECorp Risk also covers areas of financial crime beyond AML, including anti-corruption, anti-bribery, and gift & gratuity monitoring; we are also experts in regulatory requirements such as the Bank Secrecy Act (BSA), Foreign Asset Control (FATCA), and Know Your Customer (KYC).

Our services are recognized throughout the financial sector for both their quality and value. We have saved institutions from major penalties and prevented insolvency, which can be illustrated in the case of a recent large financial service client and its U.S. subsidiary.

After American authorities found our client's AML operations were lacking, in order to avoid fines, the bank agreed to enhance their efforts against fraud. Because of our industry expertise and ability to scale support to multiple locations, ACMECorp Risk gained exclusivity on all execution work in Chicago and Toronto.

The client identified over 500 processes within the AML department that needed to be prioritized and mapped; they also desired the ability enhance their internal and external reporting. BIG decided to implement Oracle Mantas as their Transaction Monitoring System (TMS)

The efficiencies created and protocols developed by our team will end up saving millions in the long term.

ACMECorp Risk was able to provide a team of business process analysts and reports developers (SAS) to tackle the formidable tasks. Our team of six had critical industry experience within AML/Fraud and included an Oracle Mantas specialist. Together, they used data analytics that assisted in the implementation of their TMS, creating and fine-tuning scenarios through predictive modelling. Today, our customer is well on its way towards resolving its issues with the U.S. Federal Reserve, having avoided fines and costly litigation.

Your company deserves the same. ACMECorp Risk is ready to take charge and lead the way to compliance, security, and stability. You and your board will rest assured knowing we are on the job. Contact us today for a free initial consultation.

ACMECorp Risk Financial Crimes Service & Solution

We bring the expertise for a robust & risk based program your company operates

Regulatory Focus

- Anti-Money Laundering (AML)
- Bank Secrecy Act (BSA)
- Know Your Customer (KYC)
- Customer Due Dilligence (CDD)
- Sanction Lists
- Politically Exposed Person (PEP)
- Office Foreign Asset Control (OFAC)
- Anti-Corruption
- Anti-Bribery
- Gift & Gratuity
- Foreign Asset Control (FATCA)
- Regulatory Actions

People

- Governance Specialists
- Business Process Experts
- Regulatory Subject Matter Expertise
- Case Management Capacity
- Tool & Technology Expertise

Tool & Technology

- Oracle MANTAS
- Actimize
- Pega
- Automation & Experience Based Logic

What We Do

- Strong Governance Models
- Detection & Identification
- Case Management
- Internal Controls
- Tool & Process Configuration Changes
- Internal / External Audit Preparation
- Automation & Experience based logic

ACMECorp Risk Advantage

- Best value for expertise in the market
- Ability for full-time conversion of resources
- Variety of expertise levels and backgrounds
- Functional & Technology Resources

"ACMECorp Risk provides the best value for experienced talent"

ACMECorpRisk.com

110 E Main St, 7^o Floor
Austin, TX 78111

888-888-8888

Some.Body@ACMECorp.com

